

Existence of Primitive pairs with two prescribed traces over finite fields

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A joint work with
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Outline of the Presentation

- 1 Notations
- 2 Basic definitions and results
- 3 Literature Survey
- 4 Results
- 5 References

- p is arbitrary prime power.
- $\mathbb{F}^* := \mathbb{F} - \{0\}$ for a field \mathbb{F} .
- ϕ is the Euler's phi-function.
- μ is the Mobius function.
- \widehat{G} is the group of characters of the group G .
- $\omega(m)$ is the number of distinct prime divisors of m .
- $W(m) := 2^{\omega(m)}$ is the number of square free divisors of m .
- $Tr_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = \epsilon + \epsilon^p + \cdots + \epsilon^{p^{t-1}}$.

Character

Let G be a finite abelian group. A character χ is a homomorphism from G into the multiplicative group $Z = \{z \in \mathbb{C} : |z| = 1\}$ of complex numbers of absolute value 1,

$$\chi : G \longrightarrow Z.$$

Among the characters of G , the trivial character of G , denoted by χ_1 , is defined as $\chi_1(a) = 1$ for all $a \in G$.

Theorem [9, Lidl, R., Niederreiter, H.]

- 1 If χ is a non-trivial character of a finite abelian group G , then $\sum_{a \in G} \chi(a) = 0$.
- 2 If $a \in G$ is a non trivial element and \widehat{G} is the group of all characters of group G , then $\sum_{\chi \in \widehat{G}} \chi(a) = 0$.

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Primitive pair

An element is said to be a primitive element over \mathbb{F}_p if it generates \mathbb{F}_p^* . We call $(\epsilon, f(\epsilon))$ a primitive pair in \mathbb{F}_p if both ϵ and $f(\epsilon)$ are primitive elements of \mathbb{F}_p , for $f \in \mathbb{F}_p(x)$.

Trace

For $\epsilon \in \mathbb{F}_{p^t}$, the Trace, denoted by $Tr_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon)$ of ϵ over \mathbb{F}_p , is defined by

$$Tr_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = \epsilon + \epsilon^p + \dots + \epsilon^{p^{t-1}}.$$

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$$\tau_a : \epsilon \longmapsto \frac{1}{p} \sum_{\psi \in \widehat{\mathbb{F}_p}} \psi(Tr_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) - a).$$

u - free element

For u , a divisor of $p - 1$, an element $\epsilon \in \mathbb{F}_p$ is called u - free, if $\epsilon = \beta^d$, where $\beta \in \mathbb{F}_p$ and $d|u$, implies $d = 1$.

Clearly an element ϵ is primitive if and only if it is $(p - 1)$ - free.

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Characteristic function [5, Fan, S., Han, W. (2004)]:-

For $u | p - 1$, the characteristic function for the subset of u -free elements of \mathbb{F}_p^* is given by

$$\rho_u : \epsilon \mapsto \theta(u) = \frac{\phi(u)}{u} \sum_{d|u} \frac{\mu(d)}{\phi(d)} \sum_{\chi_d} \chi_d(\epsilon),$$

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where χ_d denotes the multiplicative character of \mathbb{F}_p of order d .

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Lemma

Let $F(x) \in \mathbb{F}_p(x)$ be a rational function. Write $F(x) = \prod_{j=1}^k F_j(x)^{r_j}$, where where $F_j(x) \in \mathbb{F}_p[x]$ are irreducible polynomials and r_j non zero integers. Let χ be a multiplicative character of \mathbb{F}_p of precise square-free order d (a divisor of $q - 1$). Suppose that $F(x)$ is not of the form $cG(x)^d$ for some rational function $G(x) \in \mathbb{F}_p(x)$ and $c \in \mathbb{F}_p^*$. Then we have

$$\left| \sum_{\epsilon \in \mathbb{F}_p, F(\epsilon) \neq \infty} \chi(F(\epsilon)) \right| \leq \left(\sum_{j=1}^k \deg(F_j) - 1 \right) q^{\frac{1}{2}}.$$

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Lemma

Let $f(x), g(x) \in \mathbb{F}_{p^t}(x)$ be rational functions. Write $f(x) = \prod_{j=1}^k f_j(x)^{n_j}$, where $f_j(x) \in \mathbb{F}_{p^t}[x]$ are irreducible polynomials and n_j are non-zero integers. Let $D_1 = \sum_{j=1}^k \deg(f_j)$, $D_2 = \max\{\deg(g), 0\}$, D_3 be the degree of denominator of $g(x)$ and D_4 be the sum of degrees of those irreducible polynomials dividing denominator of g but distinct from $f_j(x)$ ($j = 1, 2, \dots, k$). Let χ be a multiplicative character of \mathbb{F}_{p^t} , and let ψ be a nontrivial additive character of \mathbb{F}_{p^t} . Suppose $g(x)$ is not of the form $r(x)^{p^t} - r(x)$ in $\mathbb{F}(x)$. Then we have

$$\left| \sum_{\epsilon \in \mathbb{F}_{p^t}, f(\epsilon) \neq 0, \infty, g(\epsilon) \neq \infty} \chi(f(\epsilon)) \psi(g(\epsilon)) \right| \leq (D_1 + D_2 + D_3 + D_4 - 1) q^{\frac{m}{2}}.$$

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- Jungnickel, Vanstone [8] identified a sufficient condition for the occurrence of primitive elements $\epsilon \in \mathbb{F}_{p^t}$ with a prescribed trace of ϵ . Later Cohen [4] extended the result with some exceptions.

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- In 2014, Chou and Cohen [2] addressed the issue of the existence of primitive element $\epsilon \in \mathbb{F}_{p^t}$ such that $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = \text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon^{-1}) = 0$.

- For $t \geq 29$, Cao and Wang [1] established a condition for the existence of primitive pair $(\epsilon, f(\epsilon))$ with $f(x) = \frac{x^2+1}{x} \in \mathbb{F}_{p^t}(x)$ such that for prescribed $a, b \in \mathbb{F}_p^*$, $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = a$ and $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon^{-1}) = b$.

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- In 2018, Gupta, Sharma and Cohen [7], for the same rational function and prescribed $a \in \mathbb{F}_p$, presented a condition that ensures the existence of primitive pair $(\epsilon, f(\epsilon))$ in \mathbb{F}_{p^t} with $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = a$ for $t \geq 5$.

- In 2021, Sharma and Sharma [10] examined the rational function $f = f_1/f_2$ in $\mathbb{F}_{p^t}(x)$, where f_1 and f_2 are distinct, irreducible polynomials and proved that for prescribed $a, b \in \mathbb{F}_p$, the existence of primitive pair $(\epsilon, f(\epsilon))$ in \mathbb{F}_{p^t} such that $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = a$ and $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon^{-1}) = b$ for $t \geq 7$.

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2021, Sharma H., Sharma R.K. [10]

Let $t, n, p \in \mathbb{N}$, where p is prime power. Suppose

$$p^{\frac{t}{2}-2} > (n+2)W(p^t - 1)^2,$$

then there exist an element $\epsilon \in \mathbb{F}_{p^t}^*$ such that $(\epsilon, f(\epsilon))$ is a primitive pair in \mathbb{F}_{p^t} with $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = a$ and $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon^{-1}) = b$.

Result

Prior to this article, for primitive pairs, traces were considered for ϵ and ϵ^{-1} . We consider the trace onto the element ϵ and its image under f , i.e., $f(\epsilon)$, where f is a rational function with some conditions. For this we prove the following theorem.

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Theorem

Let $p, n, t \in \mathbb{N}$ and p is prime power. Suppose that

$$p^{\frac{t}{2}-2} > (2n+1)W(p^t-1)^2.$$

then there exist an element $\epsilon \in \mathbb{F}_{p^t}^*$ such that $(\epsilon, f(\epsilon))$ is a primitive pair in \mathbb{F}_{p^t} with $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = a$ and $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(f(\epsilon)) = b$.

Idea of the proof

For $n_1, n_2 \in \mathbb{N} \cup \{0\}$, define the following sets.

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- Define $R_{p,t}(n_1, n_2)$ as the set of all rational function $f(x) = \frac{f_1(x)}{f_2(x)} \in \mathbb{F}_{p^t}(x)$ such that f_1 and f_2 are distinct irreducible polynomials over \mathbb{F}_{p^t} with $\deg(f_1) = n_1$, $\deg(f_2) = n_2$ and $n_1 + n_2 \leq p^t$.

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- Denote A_{n_1, n_2} as the set consisting of pairs $(p, t) \in \mathbb{N} \times \mathbb{N}$ such that for any $f \in R_{p,t}(n_1, n_2)$ and prescribed $a, b \in \mathbb{F}_p$, \mathbb{F}_{p^t} contains an element ϵ such that $(\epsilon, f(\epsilon))$ is a primitive pair with $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = a$ and $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(f(\epsilon)) = b$.

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- Define, $R_{p,t}(n) = \bigcup_{n_1+n_2=n} R_{p,t}(n_1, n_2)$ and $A_n = \bigcap_{n_1+n_2=n} A_{n_1, n_2}$.

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- Let $k_1, k_2, p, t \in \mathbb{N}$ be such that k_1 and k_2 are positive divisors of $p^t - 1$. Let $a, b \in \mathbb{F}_p$ and $f(x) \in R_{p,t}(n)$.

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- We want to find sufficient condition that imply $A_{f,n,a,b}(k_1, k_2) > 0$.
- From characteristics function, $A_{f,n,a,b}(k_1, k_2)$ will be given by

$$\begin{aligned} A_{f,n,a,b}(k_1, k_2) &= \sum_{\epsilon \in \mathbb{F}_{p^t}/P'} \rho_{k_1}(\epsilon) \rho_{k_2}(f(\epsilon)) \tau_a(\epsilon) \tau_b(f(\epsilon)) \\ &= \frac{\phi(k_1)\phi(k_2)}{k_1 k_2 p^2} \sum_{s_1 | k_1, s_2 | k_2} \frac{\mu(s_1)\mu(s_2)}{\phi(s_1)\phi(s_2)} \sum_{\chi_{s_1} \cdot \chi_{s_2}} \chi_{f,a,b}(s_1, s_2), \end{aligned}$$

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where

$$\begin{aligned}\chi_{f,a,b}(s_1, s_2) &= \sum_{u,v \in \mathbb{F}_p} \psi_0(-au - bv) \sum_{\epsilon \in \mathbb{F}_{p^t} \setminus P'} \chi_{s_1}(\epsilon) \chi_{s_2}(\beta) \widehat{\psi}_0(u\epsilon + v\epsilon_0) \\ &= \sum_{u,v \in \mathbb{F}_p} \psi_0(-au - bv) \sum_{\epsilon \in \mathbb{F}_{p^t} \setminus P'} \chi_{p^t-1}(\epsilon^{m_1} f(\epsilon)^{m_2}) \widehat{\psi}_0(u\epsilon + v\epsilon_0).\end{aligned}$$

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- If $(\chi_{s_1}, \chi_{s_2}, u, v) \neq (\chi_1, \chi_1, 0, 0)$, then using Lemma 1 and Lemma 2, we get $|\chi_{f,a,b}(\chi_{s_1}, \chi_{s_2})| \leq (2n+1)p^{\frac{t}{2}+2}$.

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- After doing some further manipulation we reach at

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$$p^{\frac{t}{2}-2} > (2n+1)W(k_1)W(k_2) \implies A_{f,n,a,b}(k_1, k_2) > 0.$$

and proof is completed.

Lemma

Let k be a positive divisor of $p^t - 1$ and m is a prime dividing $p^t - 1$ but not k . Then

- 1 $|A_{f,n,a,b}(mk, k) - \theta(m)A_{f,n,a,b}(k, k)| \leq \frac{\theta(k)^2\theta(m)}{p^2}(2n+1)W(k)^2p^{\frac{t}{2}+2}.$
- 2 $|A_{f,n,a,b}(k, mk) - \theta(m)A_{f,n,a,b}(k, k)| \leq \frac{\theta(k)^2\theta(m)}{p^2}(2n+1)W(k)^2p^{\frac{t}{2}+2}.$

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Lemma

Let k be a positive divisor of $p^t - 1$ and $\{q_1, q_2, \dots, q_m\}$ be the collection of all primes dividing $p^t - 1$ but not k . Then

$$A(p^t - 1, p^t - 1) \geq \sum_{i=1}^m A(k, q_i k) + \sum_{i=1}^m A(q_i k, k) - (2m - 1)A(k, k),$$

where $A = A_{f,n,a,b}$.

Sieve variation

Sieve variation of sufficient condition (Theorem 3) is given below, proof of which follows from Lemmas 4, Lemma 5 and ideas in [7].

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Theorem

Let $t, n, p, k \in \mathbb{N}$ be such that k divides $p^t - 1$. Let $\{q_1, q_2, \dots, q_m\}$ be the collection of all those primes that divide $p^t - 1$ but not k . Suppose $\delta = 1 - 2 \sum_{i=1}^m \frac{1}{q_i} > 0$ and $\Delta = \frac{2m-1}{\delta} + 2$. If

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then $(p, t) \in A_n$.

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Lemma

Suppose $\kappa \in \mathbb{N}$ is such that $\omega(\kappa) \geq 1547$, then $W(\kappa) \leq \kappa^{1/12}$.

Calculation for A_2

Calculation is carried for the situation $t \geq 7$, as from [2, Chou, W. S., Cohen, S. D. (2001)] there is no primitive element ϵ , for $t \leq 4$, such that $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon) = 0$ and $\text{Tr}_{\mathbb{F}_{p^t}/\mathbb{F}_p}(\epsilon^{-1}) = 0$, and the cases $t = 5$ and $t = 6$ necessitate substantial computation and appear to demand a different technique.

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- For $\omega(p^t - 1) \geq 1547$. By Theorem 3 and Lemma 6, $(p, t) \in A_2$, if $p^{\frac{t}{2}-2} > 5p^{\frac{t}{6}}$. But $t \geq 7$ gives $\frac{3t}{t-6} \leq 21$. Hence $(p, t) \in A_2$, if $p^t > 5^{21}$, which is true for $\omega(p^t - 1) \geq 1547$.

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- $(p, t) \in A_2$ if $p^t > 5.834 \times 10^{204}$ or $\omega(p^t - 1) \geq 95$ for $t \geq 7$.

Table 1.

$a \leq \omega \leq b$	$W(k)$	$\delta >$	$\Delta <$	$5\Delta W(k)^2 <$
$a = 13, b = 94$	2^{13}	0.04481712	3594.3767988	1.2061×10^{12}
$a = 7, b = 34$	2^7	0.04609692	1151.7513186	94,351,469
$a = 6, b = 25$	2^6	0.08241088	450.9698124	9,235,862
$a = 6, b = 23$	2^6	0.12550135	264.9453729	5,426,082
$a = 6, b = 22$	2^6	0.14959773	209.2223842	4,284,875
$a = 5, b = 19$	2^5	0.07663431	354.3225878	1,814,132
$a = 5, b = 17$	2^5	0.13927194	167.1445296	855,780
$a = 5, b = 16$	2^5	0.17317025	123.2679422	631,132
$a = 5, b = 15$	2^5	0.21090610	92.0874844	471,488

where $\omega = \omega(p^t - 1)$.

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- $p^t > 8.158 \times 10^{18}$ for $t \geq 10$.

Hence $(p, t) \in A_2$ unless $t = 7$ and $p < 26382$, $t = 8$ and $p < 1347$, $t = 9$ and $p < 237$, $t = 10$ and $p < 78$, $t = 11$ and $p < 53$, $t = 12$ and $p < 38$, $t = 13$ and $p < 29$, $t = 14$ and $p < 23$, $t = 15$ and $p < 19$, $t = 16$ and $p < 16$, $t = 17$ and $p < 13$, $t = 18$ and $p < 12$, $t = 19$ and $p < 10$, $t = 20$ and $p < 9$, $t = 21, 22$ and $p < 8$, $t = 23, 24$ and $p < 7$, $t = 25, 26, 27$ and $p = 2, 3, 4, 5$, $28 \leq t \leq 31$ and $p = 2, 3, 4$, $32 \leq t \leq 39$ and $p = 2, 3$, $40 \leq t \leq 62$ and $p = 2$.

Calculation for A_2

From the preceding discussion for every (p, t) , we validated Theorem 3 and compiled a list of 570 potential exceptions. For these potential exceptions, we discover that sieve variation (Theorem 4.1) is true for the large majority of prime powers with the exception of those mentioned in next Theorem.

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Theorem

Let $t, q, r, p \in \mathbb{N}$ be such that q is a prime number, $t \geq 7$ and $p = q^r$. Suppose p and t assume none of the following values:






- 1 $2 \leq p \leq 16$ or $p = 19, 23, 25, 27, 31, 37, 43, 49, 61, 67, 79$ and $t = 7$;
- 2 $2 \leq p \leq 31$ or $p = 32, 37, 41, 43, 47, 83$ and $t = 8$;
- 3 $2 \leq p \leq 8$ or $p = 11, 16$ and $t = 9$;
- 4 $p = 2, 3, 4, 5, 7$ and $t = 10, 12$;
- 5 $p = 2, 3, 4$ and $t = 11$;
- 6 $p = 2$ and $t = 14, 15, 16, 18, 20, 24$.

Then $(p, t) \in A_2$.

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Thank You