# The distribution of partial quotients with fixed denominator

Manuel Hauke

Graz University of Technology

July 7, 2023

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Manuel Hauke

• Every 
$$\alpha \in \mathbb{R}$$
 has a (unique) continued fraction expansion  
 $\alpha = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}} = [a_0; a_1, a_2, \dots], a_i \in \mathbb{N}.$ 

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- $\pi = [3; 7, 15, 1, 292, 1, 1, 1, 2, \ldots], e = [2; 1, 2, 1, 1, 4, 1, 1, \ldots], \Phi = \frac{1+\sqrt{5}}{2} = [1; 1, 1, 1, 1, \ldots].$

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- The convergents  $\frac{p_i}{q_i} = [a_0; a_1, a_2, \dots, a_i]$  approximate  $\alpha$  by

$$\frac{1}{(a_{i+1}+2)q_i^2} \leq (-1)^i \left(\alpha - \frac{p_i}{q_i}\right) \leq \frac{1}{a_{i+1}q_i^2}.$$

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• Theorem of Legendre: if  $|\alpha - \frac{p}{q}| \le \frac{1}{2q^2}$ , then  $\frac{p}{q}$  is a convergent of  $\alpha$ .

• Drawing an irrational uniformly at random from [0, 1), what is the probability that  $a_i = m$ ?

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- Drawing an irrational uniformly at random from [0, 1), what is the probability that  $a_i = m$ ?
- $\mathbb{P}[q_k \ge f(k)], \mathbb{P}[a_{i+n} = j \mid a_i = k], \mathbb{P}[\exists \infty \text{ many } k : a_k > f(k)], \mathbb{P}[\sum_{i=1}^k a_k > f(k)], \ldots$

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- $\mathbb{P}$  = Lebesgue measure on [0, 1). The statements above (and many more) can be solved with measure-theoretic/probabilistic methods.

### Distribution for random irrationals

• Gauss-Kuzmin theorem:

$$\mathbb{P}[a_n=m] = \log_2\left(1+\frac{1}{m(m+2)}\right) + O(e^{-cn}).$$

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• Mixing property:

$$\mathbb{P}[a_i=j,a_{i+n}=k]=\mathbb{P}[a_i=j]\cdot\mathbb{P}[a_{i+n}=k]+O(e^{-cn}).$$

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The distribution of (a<sub>i</sub>)<sub>i∈ℕ</sub> is very close to i.i.d. variables
 (X<sub>i</sub>)<sub>i∈ℕ</sub> where P[X<sub>i</sub> = m] = log<sub>2</sub> (1 + 1/m(m+2)) ⇒ many
 theorems from classical probability hold (laws of large
 numbers, central limit theorems, LDPs, ...).

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### Diophantine behaviour of random rationals

• Question: What can be transferred to a random rational? What is meant by random rational? Two natural candidates:

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- Question: What can be transferred to a random rational? What is meant by random rational? Two natural candidates:
- The Farey fractions: For a fixed large integer N, we pick a fraction uniformly at random from
   *F<sub>N</sub>* := {<sup>a</sup>/<sub>b</sub> : a ≤ b ≤ N, (a, b) = 1}
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   *F<sub>N</sub>* := { <sup>a</sup>/<sub>b</sub> : a ≤ b ≤ N, (a, b) = 1 }
   (Dynamical methods can be applied)
- Reduced fractions with fixed denominator: For a fixed large integer N, we pick a fraction uniformly at random from { a/N : 1 ≤ a ≤ N : (a, N) = 1 } (we worked with this one equidistribution and sieve theory).

Image: A mathematical states and a mathem

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Distribution of ∑<sub>i</sub> f(a<sub>i</sub>(α)), ∑<sub>i</sub>(-1)<sup>i</sup>f(a<sub>i</sub>(α)) where f is a well-behaving function and α = [0; a<sub>1</sub>, a<sub>2</sub>,...].

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### Considered objects

- Distribution of ∑<sub>i</sub> f(a<sub>i</sub>(α)), ∑<sub>i</sub>(-1)<sup>i</sup>f(a<sub>i</sub>(α)) where f is a well-behaving function and α = [0; a<sub>1</sub>, a<sub>2</sub>,...].
- Prominent entities within this framework:
  - Gauss-Kuzmin statistics  $(f = \mathbb{1}_{[a_i=m]})$
  - Sum of partial quotients (f(x) = x), related to the Discrepancy of (nα)<sub>n∈N</sub>.
  - Alternating sum  $\sum_{i}(-1)^{i}a_{i}(\alpha)$ , closely related to Dedekind sums.
  - Maximal partial quotient  $f = \mathbb{1}_{[a_i \ge m]}$ , related to Zaremba's conjecture.

Image: A matrix and a matrix

### Gauss-Kuzmin statistics

#### Theorem (Gauss/Kuzmin, 1800/1929)

Irrational case: 
$$\lim_{i \to \infty} \mathbb{P}[a_i = m] = \log_2 \left( 1 + \frac{1}{m(m+2)} \right)$$
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Theorem (Balladi, Vallée, 2015)

Farey: 
$$\frac{\sum_{i=1}^{r} \mathbb{1}_{[a_i=m]} - \log_2\left(1 + \frac{1}{m(m+2)}\right) \log N}{\sigma_m \sqrt{\log N}} \xrightarrow{d} \mathcal{N}(0,1).$$

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$$\frac{\sum_{i=1}^{r} \mathbb{1}_{[a_i=m]} - \log_2\left(1 + \frac{1}{m(m+2)}\right) \log N}{\sigma_m \sqrt{\log N}} \stackrel{d}{\to} \mathcal{N}(0,1).$$

#### Theorem (Aistleitner, Borda, H., 2023+)

Reduced fractions with fixed denominator:

$$\lim_{N \to \infty} \frac{1}{\varphi(N)} \frac{\pi^2}{12 \log 2 \log N} \sum_{a \in \mathbb{Z}_N^*} \sum_{i=1}^r \mathbb{1}_{[a_i = m]} = \log_2 \left( 1 + \frac{1}{m(m+2)} \right).$$

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#### Theorem (Vardi, 1993)

Farey: 
$$\frac{2\pi \sum_{i}^{r} (-1)^{i} a_{i}}{\log N} \xrightarrow{d} Cauchy (0, 1).$$

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#### Theorem (Aistleitner, Borda, H., 2023+)

Reduced fractions with fixed denominator: For any  $0 < t \leq (\log N)^{C}$ ,

$$\mathbb{P}\left[\left|\sum_{i=1}^{r}(-1)^{i}a_{i}\right|\geq t\log N\right]\ll\frac{1}{t}.$$

• Same asymptotic tail estimate as in the Farey case.

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### Sum of partial quotients

#### Theorem (Bettin, Drappeau, 2022)

Farey: 
$$\frac{\sum_{i=1}^{r} a_i - \frac{12}{\pi^2} \log N \log \log N - \gamma \log N}{\log N} \stackrel{d}{\to} S_1\left(\frac{\pi}{6}, 1, 0\right).$$

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• Same asymptotic tail estimate as in the Farey case.

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$$\mathbb{P}\left[\left|\sum_{i=1}^r a_i - \frac{12}{\pi^2} \log N \log \log N\right| \ge t \log N\right] \ll \frac{1}{t}.$$

Corollary (Aistleitner, Borda, H., 2023+)

$$\forall N \in \mathbb{N} \exists a \in \mathbb{Z}_N^* : \sum_i^r a_i(a/N) \leq \frac{12}{\pi^2} \log N \log \log N + O(\log N).$$

 Improves upon the (implicit) constants found by Larcher (1986)/Rukavishnikova(2006).

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Theorem (Hensley, 1991)

Farey: 
$$\lim_{N \to \infty} \mathbb{P}\left[\max_{i \leq r} a_i \geq t \log N\right] = 1 - e^{-\frac{12}{\pi^2 t}}.$$

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The distribution of partial quotients with fixed denominator

Theorem (Hensley, 1991)

Farey: 
$$\lim_{N\to\infty} \mathbb{P}\left[\max_{i\leq r} a_i \geq t \log N\right] = 1 - e^{-\frac{12}{\pi^2 t}}.$$

#### Theorem (Aistleitner, Borda, H., 2023+)

Reduced fractions with fixed denominator: For any  $0 < t \leq (\log N)^{C}$ ,

$$\mathbb{P}\left[\max_{i\leq r}a_i\geq t\log N\right]\leq \frac{12}{\pi^2 t}+O\left(\frac{(\log\log N)^3}{t\log N}\right)$$

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• By  $1 - e^{-x} = x + O(x^2)$ , same tail behaviour.

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#### Conjecture (Zaremba, 1972 (still open))

$$\forall N \in \mathbb{N} \exists a \in \mathbb{Z}_N^* : \max_{i \leq r} a_i(a/N) \leq 5.$$

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Conjecture (Zaremba, 1972 (still open))

$$\forall N \in \mathbb{N} \exists a \in \mathbb{Z}_N^* : \max_{i \leq r} a_i(a/N) \leq 5.$$

Theorem (Aistleitner, Borda, H., 2023+)

$$\forall N \in \mathbb{N} \exists a \in \mathbb{Z}_N^* : \max_{i \leq r} a_i (a/N) \leq \frac{12}{\pi^2} \log N + O\left( (\log \log N)^3 \right).$$

Image: A matrix and a matrix

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• Best bound known so far for general N.

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Let 
$$S_f\left(\frac{a}{N}\right) = \sum_{i=1}^r f(a_i)$$
 where  $a/N = [0; a_1, \dots, a_r]$ 



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The distribution of partial quotients with fixed denominator

Let 
$$S_f(\frac{a}{N}) = \sum_{i=1}^r f(a_i)$$
 where  $a/N = [0; a_1, ..., a_r]$ . For given  $b/k = [0; a_1, a_2, ..., a_j], k < N$ , let  $I_m(b/k) = ([0; a_1, a_2, ..., a_j, m], [0; a_1, a_2, ..., a_j, m + 1])$ . Then  $a/N \in I_m(b/k) \Leftrightarrow a/N = [0; a_1, a_2, ..., a_j, m, ...]$ .

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The distribution of partial quotients with fixed denominator

Let 
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$$\Rightarrow \sum_{a \in \mathbb{Z}_N^*} S_f\left(\frac{a}{N}\right) = \sum_{k \le N} \sum_{b \in \mathbb{Z}_k^*} \sum_{m=1}^{\infty} f(m) \sum_{a \in \mathbb{Z}_N^*} \mathbb{1}_{I_m(b/k)}\left(\frac{a}{N}\right).$$

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Let 
$$S_f(\frac{a}{N}) = \sum_{i=1}^r f(a_i)$$
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We have (on average)  $\lambda(I_m(b/k)) \approx \frac{1}{k^2} \log_2 \left(1 + \frac{1}{m(m+2)}\right)$  so if  $\{a/N : (a, N) = 1\}$  is well uniformly distributed, everything is fine. Problem: For  $k > \sqrt{N}$ , interval length  $\leq \frac{1}{k^2} < \frac{1}{N}$ .

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### $k > \sqrt{N}$ - reflection by modular inverse

Define  $a^*$  by  $aa^* = (-1)^r \mod N$  ( $a \mapsto a^*$  is a bijection), If  $a/N = [0; a_1, \ldots, a_r]$ , then  $a^*/N = [0; a_r, \ldots, a_1]$ .



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The distribution of partial quotients with fixed denominator

### $k > \sqrt{N}$ - reflection by modular inverse

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$$\Rightarrow \sum_{a \in \mathbb{Z}_N^*} S_f\left(\frac{a}{N}\right) \approx 2 \sum_{k \le \sqrt{N}} \sum_{b \in \mathbb{Z}_k^*} \sum_{m=1}^{\infty} f(m) \sum_{a \in \mathbb{Z}_N^*} \mathbb{1}_{I_m(b/k)}\left(\frac{a}{N}\right)$$

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The distribution of partial quotients with fixed denominator

### $k > \sqrt{N}$ - reflection by modular inverse

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For  $1 \le k < \sqrt{N}$ , we have

$$egin{aligned} &rac{1}{arphi(N)}\#\left\{ m{a}\in\mathbb{Z}_N^*:rac{m{a}}{N}\in I_m(b/k)
ight\} pprox\lambda(I_m(b/k))\ &pproxrac{1}{k^2}\log_2\left(1+rac{1}{m(m+2)}
ight) \end{aligned}$$

by sieve methods/discrepancy estimates.

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The distribution of partial quotients with fixed denominator

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$$\mathbb{E}\left[S_f\left(\frac{a}{N}\right)^2\right] \approx \frac{1}{\varphi(N)} \frac{1}{8} \sum_{a \in \mathbb{Z}_N^*} \sum_{i:q_{i-1} < \sqrt{N}} f(a_i(a/N)) \underbrace{\sum_{\substack{j \le i \\ = S_f\left(\frac{b}{k}\right), \frac{b}{k} = [0;a_1,\dots,a_i]}}_{=S_f\left(\frac{b}{k}\right), \frac{b}{k} = [0;a_1,\dots,a_i]}$$
$$\approx \frac{1}{\varphi(N)} \frac{1}{8} \sum_{1 \le k < \sqrt{N}} \sum_{b \in \mathbb{Z}_k^*} \sum_{a \in \mathbb{Z}_N^*} w_f\left(\frac{b}{k} - \frac{a}{N}\right) S_f\left(\frac{b}{k}\right)$$

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The distribution of partial quotients with fixed denominator

$$\mathbb{E}\left[S_{f}\left(\frac{a}{N}\right)^{2}\right] \approx \frac{1}{\varphi(N)} \frac{1}{8} \sum_{a \in \mathbb{Z}_{N}^{*}} \sum_{i:q_{i-1} < \sqrt{N}} f(a_{i}(a/N)) \underbrace{\sum_{j \leq i} f(a_{j}(a/N))}_{=S_{f}\left(\frac{b}{k}\right), \frac{b}{k} = [0;a_{1},...,a_{i}]}_{=S_{f}\left(\frac{b}{k}\right), \frac{b}{k} = [0;a_{1},...,a_{i}]}$$

$$\approx \frac{1}{\varphi(N)} \frac{1}{8} \sum_{1 \leq k < \sqrt{N}} \sum_{b \in \mathbb{Z}_{N}^{*}} \sum_{a \in \mathbb{Z}_{N}^{*}} w_{f}\left(\frac{b}{k} - \frac{a}{N}\right) S_{f}\left(\frac{b}{k}\right)$$

$$\sum_{a \in \mathbb{Z}_{N}^{*}} w_{f}\left(\frac{b}{k} - \frac{a}{N}\right) \approx \frac{\varphi(N)}{k^{2}} \int_{0}^{\infty} \frac{f(x)}{x^{2}} dx, \quad \text{almost independent of } b.$$

$$\Rightarrow \approx \frac{1}{8} \int_{0}^{\infty} \frac{f(x)}{x^{2}} dx \sum_{1 \leq k < \sqrt{N}} \frac{1}{k^{2}} \underbrace{\sum_{b \in \mathbb{Z}_{k}^{*}} S_{f}\left(\frac{b}{k}\right)}_{\text{Expected value w.r.t. } k}$$

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For any 
$$0 < t \le (\log N)^C$$
,  
$$\mathbb{P}\left[\left|\sum_{i=1}^r a_i - \frac{12}{\pi^2} \log N \log \log N\right| \ge t \log N\right] \ll \frac{1}{t}.$$

Heavy-tailed distribution: First, remove those a/N where max<sub>i</sub> a<sub>i</sub>(a/N) ≥ (log N)<sup>C</sup> by Markov. Then apply mean/variance + Chebyshev on f(x) = x1<sub>[x≤(log N)<sup>c</sup>]</sub>.

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For any  $0 < t \leq (\log N)^C$ ,

$$\mathbb{P}\left[\left|\sum_{i=1}^r a_i - \frac{12}{\pi^2} \log N \log \log N\right| \ge t \log N\right] \ll \frac{1}{t}.$$

- Heavy-tailed distribution: First, remove those a/N where max<sub>i</sub> a<sub>i</sub>(a/N) ≥ (log N)<sup>C</sup> by Markov. Then apply mean/variance + Chebyshev on f(x) = x1<sub>[x≤(log N)<sup>c</sup>]</sub>.
- "Typical behaviour deviates from average behaviour":  $\mathbb{E}[\sum_{i=1}^{r} a_i] \sim \frac{6}{\pi^2} (\log N)^2$  (Panov/Liehl, 1982/1983), but concentration around  $\frac{12}{\pi^2} \log N \log \log N$  (median is much smaller than the mean).

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 Is it possible to obtain those estimates on (short) intervals or other measures than Unif(Z<sup>\*</sup><sub>N</sub>)? Say, given (X, Y) ⊂ [0, 1], what statistics hold for a/N such that a/N ∈ (X, Y) or ∑<sub>a∈Z<sup>\*</sup><sub>N</sub></sub> S<sub>f</sub>(a/N)g(a/N) where g is a smooth function?



The distribution of partial quotients with fixed denominator

- Is it possible to obtain those estimates on (short) intervals or other measures than Unif(Z<sup>\*</sup><sub>N</sub>)? Say, given (X, Y) ⊂ [0, 1], what statistics hold for a/N such that a/N ∈ (X, Y) or ∑<sub>a∈Z<sup>\*</sup><sub>N</sub></sub> S<sub>f</sub>(a/N)g(a/N) where g is a smooth function?
- What about the mixing property?  $\mathbb{P}[a_{i+n} = m \mid a_i = j] \approx \mathbb{P}[a_{i+n} = m] \cdot \mathbb{P}[a_i = j] \text{ for } n \to \infty?$

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The distribution of partial quotients with fixed denominator

- Is it possible to obtain those estimates on (short) intervals or other measures than Unif(Z<sup>\*</sup><sub>N</sub>)? Say, given (X, Y) ⊂ [0, 1], what statistics hold for a/N such that a/N ∈ (X, Y) or ∑<sub>a∈Z<sup>\*</sup><sub>N</sub></sub> S<sub>f</sub>(a/N)g(a/N) where g is a smooth function?
- What about the mixing property?  $\mathbb{P}[a_{i+n} = m \mid a_i = j] \approx \mathbb{P}[a_{i+n} = m] \cdot \mathbb{P}[a_i = j] \text{ for } n \to \infty?$
- Do the same limit laws as in the Farey setting hold without the double-average? If so, do the center/scaling terms depend on the arithmetic structure of *N*?

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## Thanks for your attention!

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