

# NON-KÄHLER COMPACT COMPLEX MANIFOLDS ASSOCIATED TO NUMBER FIELDS

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ABSTRACT. For algebraic number fields  $K$  with  $s > 0$  real and  $2t > 0$  complex embeddings and "admissible" subgroups  $U$  of the multiplicative group of integer units of  $K$  we construct and investigate certain  $(s + t)$ -dimensional compact complex manifolds  $X(K, U)$ . We show among other things that such manifolds are non-Kähler but admit locally conformally Kähler metrics when  $t = 1$ . In particular we disprove a conjecture of I. Vaisman.

Etant donnés des corps de nombres  $K$  avec  $s > 0$  plongements réels et  $2t > 0$  plongements complexes et des sous groupes "admissibles"  $U$  du groupe multiplicatif des entiers inversibles, nous construisons et étudions certaines variétés complexes compactes  $X(K, U)$ . Entre autres, nous montrons que ces variétés ne sont pas kähleriennes, mais admettent des métriques localement conformément kähleriennes lorsque  $t = 1$ . En particulier, nous donnons un contre-exemple à une conjecture de I. Vaisman.

## 1. NOTATIONS, CONSTRUCTION AND FIRST PROPERTIES

Consider an algebraic number field  $K$ , that is a finite extension field of the field of rational numbers  $\mathbb{Q}$ . Let  $n := (K : \mathbb{Q})$  be its degree. The field  $K$  admits precisely  $n = s + 2t$  distinct embeddings  $\sigma_1, \dots, \sigma_n$  into  $\mathbb{C}$ , where we suppose that  $\sigma_1, \dots, \sigma_s$  are the real embeddings,  $\sigma_{s+1}, \dots, \sigma_n$  are the complex ones and that  $\sigma_{s+i} = \bar{\sigma}_{s+i+t}$  for  $1 \leq i \leq t$ . We shall suppose throughout the paper that both  $s$  and  $t$  are strictly positive. Furthermore, let  $\mathcal{O}_K$  denote the ring of algebraic integers of  $K$ . This is a free  $\mathbb{Z}$ -module of rank  $n$ . In fact our construction works also for arbitrary orders  $\mathcal{O}$  of  $K$ , i.e. for subrings  $\mathcal{O}$  of  $\mathcal{O}_K$  which have rank  $n$  as  $\mathbb{Z}$ -modules.

Set now  $m := s + t$  and consider the "geometric representation" of  $K$ :

$$\sigma : K \rightarrow \mathbb{C}^m, \quad \sigma(a) := (\sigma_1(a), \dots, \sigma_m(a)).$$

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It is known that the image  $\sigma(\mathcal{O}_K)$  of  $\mathcal{O}_K$  through  $\sigma$  is a lattice of rank  $n$  in  $\mathbb{C}^m$ , cf. [1], 2.3.1., p. 95ff. We thus get a properly discontinuous action of  $\mathcal{O}_K$  on  $\mathbb{C}^m$  by translations. Consider furthermore the following multiplicative action of  $K$  on  $\mathbb{C}^m$ : for  $a \in K$  and  $z \in \mathbb{C}^m$  set

$$az := (\sigma_1(a)z_1, \dots, \sigma_m(a)z_m).$$

For  $a \in \mathcal{O}_K$ ,  $a\sigma(\mathcal{O}_K)$  is contained in  $\sigma(\mathcal{O}_K)$ . Let  $\mathcal{O}_K^*$  denote the group of units in  $\mathcal{O}_K$  and

$$\mathcal{O}_K^{*,+} := \{a \in \mathcal{O}_K^* \mid \sigma_i(a) > 0 \text{ for all } 1 \leq i \leq s\}.$$

Since for  $s > 0$  the only torsion elements of  $\mathcal{O}_K^*$  are 1 and  $-1$ , Dirichlet's Units Theorem allows us to write  $\mathcal{O}_K^* = G \cup (-G)$ , where  $G$  is a free abelian (multiplicative) group of rank  $m - 1$ . One may choose  $G$  so that it contains  $\mathcal{O}_K^{*,+}$ , automatically with finite index. We denote by  $\mathbb{H}$  the upper complex half-plane,  $\mathbb{H} := \{z \in \mathbb{C} \mid \Im z > 0\}$ . Combining the additive action of  $\mathcal{O}_K$  with the induced multiplicative action of  $\mathcal{O}_K^{*,+}$  we get an action of  $\mathcal{O}_K^{*,+} \times \mathcal{O}_K$  on  $\mathbb{C}^m$  which is free on the invariant domain  $\mathbb{H}^s \times \mathbb{C}^t$ . We shall now choose a subgroup  $U$  of rank  $s$  of  $\mathcal{O}_K^{*,+}$  such that the action of  $U \times \mathcal{O}_K$  on  $\mathbb{H}^s \times \mathbb{C}^t$  becomes properly discontinuous, thus yielding a smooth quotient which will be shown to be compact. In order to do this we consider the logarithmic representation of units

$$l : \mathcal{O}_K^* \rightarrow \mathbb{R}^m, \quad l(u) := (\ln|\sigma_1(u)|, \dots, \ln|\sigma_s(u)|, 2\ln|\sigma_{s+1}(u)|, \dots, 2\ln|\sigma_m(u)|),$$

cf. [1] 2.3.3.. Dirichlet's Units Theorem implies that  $l(\mathcal{O}_K^{*,+})$  is a full lattice in the subspace  $L := \{x \in \mathbb{R}^m \mid \sum_{i=1}^m x_i = 0\}$  of  $\mathbb{R}^m$ . Since  $t > 0$ , the projection  $pr : L \mapsto \mathbb{R}^s$  given by the first  $s$  coordinate functions is surjective. Thus there exist subgroups  $U$  of rank  $s$  of  $\mathcal{O}_K^{*,+}$  such that  $pr(l(U))$  is a full lattice in  $\mathbb{R}^s$ . Such a subgroup will be called **admissible** for  $K$ .

Take now  $U$  admissible for  $K$ . The quotient  $\mathbb{H}^s \times \mathbb{C}^t / \sigma(\mathcal{O}_K)$  is clearly diffeomorphic to a trivial torus bundle  $(\mathbb{R}_{>0})^s \times (S^1)^n$  and  $U$  operates properly discontinuously on it since it induces a properly discontinuous action on the base  $(\mathbb{R}_{>0})^s$  by our choice. Differentiably the quotient of this action is a fiber bundle over  $(S^1)^s$  with  $(S^1)^n$  as fiber. We thus get an  $m$ -dimensional compact complex affine manifold

$$X = X(K, U) := (\mathbb{H}^s \times \mathbb{C}^t) / (U \times \mathcal{O}_K).$$

This paper is devoted to the description of these complex manifolds.

**Remark 1.1.** *For every choice of natural numbers  $s$  and  $t$ , algebraic number fields with precisely  $s$  real and  $2t$  complex embeddings exist.*

Since we don't know of any source for this observation we include here an argument we owe to Ph. Eyssidieux.

*Proof.* Consider the non-empty open set  $D$  of points  $a = (a_1, \dots, a_n) \in \mathbb{Q}^n$  such that the polynomials  $P = X^n + a_1X^{n-1} + \dots + a_n$  admit exactly  $s$  real distinct and  $2t$  complex

non-real roots. The open set  $D$  will contain arbitrarily large open balls since the map  $(a_1, \dots, a_n) \mapsto (ba_1, b^2a_2, \dots, b^na_n)$  leaves it invariant for any choice of rational numbers  $b$ .

Choose now a prime number  $p$  and  $\tilde{P} = X^n + \tilde{a}_1X^{n-1} + \dots + \tilde{a}_n \in \mathbb{Z}[X]$  an Eisenstein polynomial with respect to  $p$ , that is  $p|\tilde{a}_i$  for all  $i$  but  $p^2 \nmid \tilde{a}_n$ . Then the set  $\tilde{a} + p^2\mathbb{Z}^n$  intersects  $D$  and consists only of Eisenstein hence irreducible polynomials.  $\square$

**Remark 1. 2.** For  $s = 1, t = 1$  and  $U = \mathcal{O}_K^{*,+}$ ,  $X(K, U)$  is an Inoue-Bombieri surface  $S_M$ ; cf. [3].

**Remark 1. 3.** When  $s = 1$  or  $t = 1$  all subgroups  $U$  of rank  $s$  of  $\mathcal{O}_K^{*,+}$  are admissible for  $K$ . But this need not be the case in general as the following example shows. Take two field extensions  $K'$  and  $K''$  of  $\mathbb{Q}$  with corresponding numbers of real and complex embeddings  $s' = 1, t' = 2, s'' = 2, t'' = 1$  and  $K$  the composite of  $K'$  and  $K''$ . Then  $s = 2$  but  $\mathcal{O}_{K'}^{*,+}$  is not admissible for  $K$ .

**Lemma 1. 4.** Let  $U$  be a subgroup of  $\mathcal{O}_K$  not contained in  $\mathbb{Z}$ . Then the following are equivalent:

- The action of  $U$  on  $\mathcal{O}_K$  admits a proper non-trivial invariant submodule of lower rank.
- There exists some proper intermediate field extension  $\mathbb{Q} \subset K' \subset K$  with  $U \subset \mathcal{O}_{K'}$ .

*Proof.* Suppose  $M$  is a proper  $\mathbb{Z}$ -submodule of  $\mathcal{O}_K$  which is invariant under  $U$  and with  $0 < \text{rank } M = r < n$ . We consider the coefficient ring of  $M$ ,  $\mathcal{O}_M := \{a \in K | aM \subset M\}$ . We have  $U \subset \mathcal{O}_M$ , hence  $\mathcal{O}_M$  is not contained in  $\mathbb{Q}$ . Let now  $K'$  be the field of fractions of  $\mathcal{O}_M$ . We have to show that  $K' \neq K$ . Let  $x \in K'$  be a primitive element for  $K'/\mathbb{Q}$  with  $x = a/b, a, b \in \mathcal{O}_M$ . Then the action of  $x$  on  $M$  is described by an  $r \times r$  matrix with rational coefficients in terms of a basis of  $M$ . If  $K'$  and  $K$  coincided, then the characteristic polynomial of  $x$  would allow a factor of degree  $r$  over  $\mathbb{Q}$ . This proves the lemma in one direction. The converse is clear.  $\square$

**Definition 1. 5.** We shall call the manifold  $X(K, U)$  of **simple type** if  $U$  does not satisfy the equivalent conditions of the previous lemma.

**Lemma 1. 6.** Let  $\mathbb{Q} \subset K' \subset K$  be a proper intermediate extension and  $U \subset \mathcal{O}_{K'}^{*,+}$  an admissible subgroup for  $K$ . Let  $s', 2t'$  be the numbers of distinct real and respectively complex embeddings of  $K'$ . Then  $s = s', t' > 0$  and  $U$  is admissible for  $K'$ .

*Proof.* The restrictions to  $K'$  of two different real embeddings of  $K$  cannot coincide since  $U \subset K'$  and  $U$  is admissible for  $K$ . Thus  $s' \geq s$ . We show now that  $s \geq s'$  as well.

Let  $k := (K : K')$ . The restriction to  $K'$  of a real embedding of  $K$  will have to coincide with the restrictions of exactly  $k - 1$  complex embeddings of  $K$ . In particular since these restrictions are real these  $k - 1$  complex embeddings occur in complex conjugate pairs. So  $k - 1$  is even.

Suppose now that there is a real embedding of  $K'$  which is not the restriction of any real embedding of  $K$ . Such an embedding has then to be the restriction of exactly  $k$  complex embeddings of  $K$  and  $k$  would then be even by the same reason as above. Thus  $s = s'$ .

By Dirichlet's Units Theorem and since  $U$  has rank  $s$ ,  $t'$  has to be strictly positive. It is clear now that  $U$  is admissible for  $K'$ .  $\square$

**Remark 1.7.** *If  $X(K, U)$  is not of simple type with  $\mathbb{Q} \subset K' \subset K$  as intermediate extension and  $U \subset \mathcal{O}_{K'}^{*,+}$ , then there exists a holomorphic foliation of  $X(K, U)$  with a leaf isomorphic to  $X(K', U)$ . Just look at the foliation of  $\mathbb{C}^m$  defined by the translates  $V_{K'} + v$ ,  $v \in \mathbb{C}^m$  of the complex vector subspace  $V_{K'}$  of  $\mathbb{C}^m$  spanned by  $\sigma(\mathcal{O}_{K'})$ . Its restriction to  $\mathbb{H}^s \times \mathbb{C}^t$  is invariant under the action of  $U \times \mathcal{O}_K$  and thus descends to  $X(K, U)$ . It is clear that  $(V_{K'} \cap (\mathbb{H}^s \times \mathbb{C}^t))/U \times \mathcal{O}_{K'}$  is a leaf of this foliation which is compact since  $U$  is admissible for  $K'$ .*

**Remark 1.8.** *Not all manifolds  $X$  are of simple type. Keeping the notations of Remark 1.3 take for instance  $K', K''$  with  $s' = s'' = t' = t'' = 1$  but non isomorphic and  $K$  their composite. Then  $s = 1$ ,  $t = 4$  and this time  $\mathcal{O}_{K'}^{*,+}$  is admissible for  $K$ . Note however that for any choice of  $K$  there are infinitely many  $X(K, U)$  of simple type, since the number of intermediate extensions of  $K$  is finite.*

## 2. INVARIANTS AND METRICS

We investigate here some properties of the varieties  $X(K, U)$ , where  $K$  is a number field as before and  $U$  is admissible for  $K$ .

We start with some preparations for the computation of the first Betti numbers of  $X(K, U)$ .

**Remark 2.1.** *Let  $a \in \mathcal{O}_K^*$  and consider its action on  $\text{Hom}_{\mathbb{Z}}(\mathcal{O}_K, \mathbb{C})$  by  $(af)(x) := f(a^{-1}x)$  for  $f \in \text{Hom}_{\mathbb{Z}}(\mathcal{O}_K, \mathbb{C})$  and  $x \in \mathcal{O}_K$ . Then the restrictions to  $\mathcal{O}_K$  of the embeddings  $\sigma_1, \dots, \sigma_n$  of  $K$  give a basis of  $\text{Hom}_{\mathbb{Z}}(\mathcal{O}_K, \mathbb{C})$  of eigenvectors for this action with associated eigenvalues  $\sigma_1(a^{-1}), \dots, \sigma_n(a^{-1})$ .*

**Lemma 2.2.** *Let  $\theta = \sum_{i=1}^n a_i \sigma_i$ ,  $a_i \in \mathbb{C}$  be a 1-form which is  $\mathbb{Q}$ -valued on  $\mathcal{O}_K$ . Then either all coefficients  $a_i$  are non-zero or they all vanish.*

*Proof.* It is easy to see that there exists a primitive element  $\alpha$  for  $K/\mathbb{Q}$  in  $\mathcal{O}_K$ . Then  $\theta(\alpha^k) \in \mathbb{Q}$  for  $0 \leq k \leq n-1$ . Let  $\alpha_i := \sigma_i(\alpha)$  be the roots of the characteristic polynomial of  $\alpha$ .

The rationality condition for  $\theta$  can be written as a linear system of equations for the coefficients  $a_i$ :

$$\sum_{i=1}^n a_i \alpha_i^k = b_k, \quad 0 \leq k \leq n-1,$$

for some rational numbers  $b_0, \dots, b_{n-1}$ . Let  $A$  be the coefficient matrix  $(\alpha_i^k)_{1 \leq i \leq n, 0 \leq k \leq n-1}$  of this system. By the choice of  $\alpha$  we have  $\det A \neq 0$ .

We suppose now that  $\theta \neq 0$ , so not all  $b_i$ -s vanish, and that one of the  $a_i$ -s is zero, say  $a_n = 0$ . This means that the determinant of the matrix obtained from  $A$  by replacing the last column with the free vector  $b = (b_0, \dots, b_{n-1})$  shall vanish. Hence expanding this determinant after its last column gives us the following linear dependency relation over  $\mathbb{Q}$  among the coefficients of the polynomial  $\prod_{1 \leq i \leq n-1} (X - \alpha_i)$ :

$$b_{n-1} + b_{n-2}s_1 + \dots + b_0s_{n-1} = 0.$$

Here we denoted by  $s_i$  the  $i$ -th elementary symmetric function in  $a_1, \dots, a_{n-1}$ .

Now we express inductively the elementary symmetric functions in  $a_1, \dots, a_{n-1}$  in terms of those in  $a_1, \dots, a_n$  and the powers of  $\alpha_n$ :

$$\begin{aligned} s_1(a_1, \dots, a_{n-1}) &= s_1(a_1, \dots, a_n) - \alpha_n, \\ s_2(a_1, \dots, a_{n-1}) &= s_2(a_1, \dots, a_n) - \alpha_n s_1(a_1, \dots, a_{n-1}) = \\ &= s_2(a_1, \dots, a_n) - \alpha_n s_1(a_1, \dots, a_n) + \alpha_n^2, \dots \end{aligned}$$

This leads to a non-trivial relation over  $\mathbb{Q}$  among  $1, \alpha_n, \dots, \alpha_n^{n-1}$  which contradicts the choice of  $\alpha$ .  $\square$

**Proposition 2.3.** *For all  $X = X(K, U)$  the first Betti number is  $b_1 = s$ . When  $X$  is of simple type one also has  $b_2 = \binom{s}{2}$ .*

*Proof.* The cohomology groups of  $X$  with coefficients in  $\mathbb{Q}$  are isomorphic to those of its fundamental group. We thus have to compute  $H^1(U \times \mathcal{O}_K; \mathbb{Q})$  and  $H^2(U \times \mathcal{O}_K; \mathbb{Q})$ . The Lyndon-Hochschild-Serre spectral sequence associated to the short exact sequence

$$0 \rightarrow \mathcal{O}_K \rightarrow U \times \mathcal{O}_K \rightarrow U \rightarrow 0$$

gives

$$E_2^{pq} = H^p(U; H^q(\mathcal{O}_K; \mathbb{Q})) \implies H^{p+q}(U \times \mathcal{O}_K; \mathbb{Q})$$

and an exact sequence of low degree terms:

$$0 \rightarrow H^1(U; \mathbb{Q}^{\mathcal{O}_K}) \rightarrow H^1(U \times \mathcal{O}_K; \mathbb{Q}) \rightarrow H^1(\mathcal{O}_K; \mathbb{Q})^U \rightarrow H^2(U; \mathbb{Q}^{\mathcal{O}_K}) \rightarrow H^2(U \times \mathcal{O}_K; \mathbb{Q});$$

cf. [4], 6.8. Here  $\mathbb{Q}$  is seen as a trivial  $U \times \mathcal{O}_K$ -module. Then  $H^1(\mathcal{O}_K; \mathbb{Q}) \cong \text{Hom}(\mathcal{O}_K; \mathbb{Q})$  is a non-trivial  $U$ -module via:

$$(uf)(x) := f(u^{-1}x), \text{ for all } u \in U, f \in \text{Hom}(\mathcal{O}_K, \mathbb{Q}), x \in \mathcal{O}_K;$$

cf. [4] 6.8.1. Thus  $H^1(\mathcal{O}_K; \mathbb{Q})^U := \{f \in \text{Hom}(\mathcal{O}_K, \mathbb{Q}) \mid uf = f, \text{ for all } u \in U\}$  and this last space is trivial by Remark 2.1. Thus  $H^1(U \times \mathcal{O}_K; \mathbb{Q}) \cong H^1(U; \mathbb{Q}^{\mathcal{O}_K}) \cong H^1(U; \mathbb{Q}) \cong H^1(\mathbb{Z}^s; \mathbb{Q}) \cong \mathbb{Q}^s$ .

Moreover, the map  $H^2(U; \mathbb{Q}^{\mathcal{O}_K}) \rightarrow H^2(U \times \mathcal{O}_K; \mathbb{Q})$  is injective. We only need to prove that it is surjective as well when  $X$  is of simple type. To see this it is enough to check that in this case the terms  $E_2^{0,2}$  and  $E_2^{1,1}$  of the spectral sequence vanish.

Consider first  $E_2^{0,2} = H^0(U; H^2(\mathcal{O}_K; \mathbb{Q})) = H^2(\mathcal{O}_K; \mathbb{Q})^U \cong \text{Alt}^2(\mathcal{O}_K; \mathbb{Q})^U$ . This is the space of alternating 2-forms on  $\mathcal{O}_K$  which are fixed by  $U$ . Let  $\gamma = \sum_{1 \leq i < j \leq n} a_{ij} \sigma_i \wedge \sigma_j \in \text{Alt}^2(\mathcal{O}_K; \mathbb{Q})^U$  with  $a_{ij} \in \mathbb{C}$ . The fact that  $\gamma$  is invariant under the action of some  $u \in U$  means that  $\sigma_i(u)\sigma_j(u) = 1$  whenever  $a_{ij} \neq 0$ ; cf. Remark 2.1. From this we get  $a_{ij} = 0$  for all  $1 \leq i < j \leq s$  since  $U$  is admissible for  $K$ . The relation  $\sigma_i(u)\sigma_j(u) = 1$  for all  $u \in U$  and the fact that  $X$  is of simple type imply moreover that  $a_{ij} = 0$  whenever  $1 \leq i \leq s$  and that for each  $i > s$  there exists at most one  $j = j(i) > i$  with  $a_{ij} \neq 0$ . (Otherwise we would get two equal embeddings  $\sigma_j = \sigma_{j'}$ .) Thus  $\gamma = \sum_{s < i < n} a_{ij(i)} \sigma_i \wedge \sigma_{j(i)}$ . Let  $\alpha \in \mathcal{O}_K$  be a primitive element for  $K$ . Then  $\gamma(\alpha^k, 1) \in \mathbb{Q}$  for all  $k \in \mathbb{Z}$ , that is  $\sum_{s < i < n} a_{ij(i)} (\sigma_i(\alpha^k) - \sigma_{j(i)}(\alpha^k)) \in \mathbb{Q}$  for all  $k \in \mathbb{Z}$ . But then we get a rational 1-form  $\sum_{s < i < n} a_{ij(i)} (\sigma_i - \sigma_{j(i)})$  which by Lemma 2.2 has to vanish.

We now check that  $E_2^{1,1} = H^1(U; H^1(\mathcal{O}_K; \mathbb{Q}))$  is trivial. Since  $U$  is free abelian we reduce ourselves by the Lyndon-Hochschild-Serre spectral sequence for  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}^s \rightarrow \mathbb{Z}^{s-1} \rightarrow 0$  to the computation of  $H^1(\mathbb{Z}; H^1(\mathcal{O}_K; \mathbb{Q}))$  where  $\mathbb{Z}$  here is the subgroup generated by some  $u \in U$ . Now  $H^1(\mathbb{Z}; H^1(\mathcal{O}_K; \mathbb{Q})) \cong H^1(\mathcal{O}_K; \mathbb{Q})_{\mathbb{Z}} \cong H^1(\mathcal{O}_K; \mathbb{Q}) / \langle uf - f \mid f \in H^1(\mathcal{O}_K; \mathbb{Q}) \rangle$ ; cf. [4] 6.1.4. But the action of  $u - id$  is invertible by Remark 2.1 hence  $H^1(\mathbb{Z}; H^1(\mathcal{O}_K; \mathbb{Q}))$  vanishes.  $\square$

**Lemma 2.4.** *Every holomorphic function on  $\mathbb{H}^s \times \mathbb{C}^t / \sigma(\mathcal{O}_K)$  is constant.*

*Proof.* Take any element  $v \in \mathbb{H}^s$ . We shall first prove the following

**Claim.** The image of  $\{v\} \times \mathbb{C}^t$  in  $(v + \mathbb{R}^s) \times \mathbb{C}^t / \sigma(\mathcal{O}_K)$  is dense in this space.

We shall just check that  $0 \times \mathbb{C}^t$  has a dense image in  $\mathbb{R}^s \times \mathbb{C}^t / \sigma(\mathcal{O}_K)$ . For this it is enough to prove that the image of  $\mathcal{O}_K$  through  $\sigma' = (\sigma_1, \dots, \sigma_s) : \mathcal{O}_K \rightarrow \mathbb{R}^s$  is dense in  $\mathbb{R}^s$ .

Consider the connected component  $V$  of 0 of the topological closure of  $\sigma'(\mathcal{O}_K)$  in  $\mathbb{R}^s$  and the  $\mathbb{Z}$ -submodule  $M := \sigma'^{-1}(V)$  of  $\mathcal{O}_K$ . If  $V \neq \mathbb{R}^s$  we would have  $\text{rank } M < n$ . Take now  $\alpha \in \mathcal{O}_K$  a primitive element for  $K$ . On  $\mathcal{O}_K$  we have a multiplicative action of  $\alpha$ . The submodule  $\alpha \mathcal{O}_K$  of  $\mathcal{O}_K$  has finite index so the induced linear action of  $\alpha$  on  $\mathbb{R}^s$  will leave  $V$  invariant. Thus  $M$  also remains invariant under the action of  $\alpha$ . But this would imply that the characteristic polynomial of  $\alpha$  admits a factor of degree  $\text{rank } M$  over  $\mathbb{Q}$ , which is absurd.

Take now a holomorphic function  $f$  on  $\mathbb{H}^s \times \mathbb{C}^t / \sigma(\mathcal{O}_K)$  and  $v \in \mathbb{H}^s$ . Since  $f$  is bounded on  $(v + \mathbb{R}^s) \times \mathbb{C}^t / \sigma(\mathcal{O}_K) \simeq (S^1)^n$  its lift  $\tilde{f}$  to  $\mathbb{H}^s \times \mathbb{C}^t$  will be bounded on each  $(v + \mathbb{R}^s) \times \mathbb{C}^t$  hence constant on  $\{v\} \times \mathbb{C}^t$ . By our Claim it follows now that  $\tilde{f}$  is constant on  $(v + \mathbb{R}^s) \times \mathbb{C}^t$ . But then  $\tilde{f}$  must be constant on  $\mathbb{H}^s \times \mathbb{C}^t$  by the identity principle.  $\square$

**Proposition 2.5.** *The following vector bundles on  $X = X(K, U)$  are flat and admit no non-trivial global holomorphic sections:*

$$\Omega_X^1, \Theta_X, K_X^{\otimes k}, \text{ for all } k \neq 0.$$

Moreover  $\dim H^1(X, \mathcal{O}_X) \geq s$ . In particular  $\kappa(X) = -\infty$  and  $X$  is non-Kähler.

*Proof.* Let  $z_1, \dots, z_m$  be the standard complex coordinate functions on  $\mathbb{H}^s \times \mathbb{C}^t$ . A section  $\omega$  of  $K_X^{\otimes k}$  lifted to  $\mathbb{H}^s \times \mathbb{C}^t$  will have the form  $\tilde{\omega} = f(dz_1 \wedge \dots \wedge dz_m)^{\otimes k}$ . Since this section descends to  $\mathbb{H}^s \times \mathbb{C}^t / \sigma(\mathcal{O}_K)$  it follows from Lemma 2.4 that  $f$  is constant on  $\mathbb{H}^s \times \mathbb{C}^t$ . Moreover if  $f \neq 0$ , the invariance of  $\tilde{\omega}$  with respect to  $U$  gives  $(\prod_{i=1}^m \sigma_i(u))^k = 1$  for all  $u \in U$ . Multiplying this by  $(\prod_{i=1}^m \bar{\sigma}_i(u))^k = 1$  and using the fact that  $(\prod_{i=1}^n \sigma_i(u))^k = 1$  we get  $(\prod_{i=1}^s \sigma_i(u))^k = 1$  which contradicts the admissibility of  $U$ .

In the case of  $\Omega_X^1$  the automorphy factors are  $\sigma_i(u)$ ,  $i = 1, \dots, m$  and it is clear that none of them equals 1. An analogous argument works for  $\Theta_X$  using the vector fields  $\partial/\partial z_i$ . The flatness of these bundles is evident.

The statement on  $\dim H^1(\mathcal{O}_X)$  follows now from Proposition 2.3 and the exact sequence of sheaves on  $X$ :

$$0 \rightarrow \mathbb{C} \rightarrow \mathcal{O} \rightarrow d\mathcal{O} \rightarrow 0.$$

□

**Remark 2.6.** *The above proof also shows that the embeddings of  $U$  by  $\sigma_1, \dots, \sigma_m$  are determined by the complex structure of  $X(K, U)$  through the automorphy factors of  $\Omega_X^1$ . In particular when  $X$  is of simple type its complex structure determines both  $K$  and  $U$ .*

**Corollary 2.7.** *The group of holomorphic automorphisms of  $X$  is discrete. It is infinite when  $t > 1$  since the elements of  $\mathcal{O}_K^*/U$  induce automorphisms of  $X(K, U)$ .*

It is known that the Inoue-Bombieri surfaces  $S_M$  admit locally conformally Kähler metrics. This means that there is a representation  $\rho : \pi_1(S_M) \rightarrow \mathbb{R}_{>0}$  and a closed strongly positive  $(1, 1)$ -form  $\omega$  on the universal cover of  $S_M$  such that  $g^*\omega = \rho(g)\omega$  for all  $g \in \pi_1(S_M)$ ; cf. [2]. We now investigate the existence of locally conformally Kähler metrics more generally on the manifolds  $X(K, U)$ .

**Example.** When  $t = 1$  all manifolds  $X(K, U)$  admit locally conformally Kähler metrics. Consider indeed the following potential

$$F : \mathbb{H}^s \times \mathbb{C} \rightarrow \mathbb{R}, \quad F(z) := \frac{1}{\prod_{j=1}^s (i(z_j - \bar{z}_j))} + |z_m|^2.$$

Then  $\omega := i\partial\bar{\partial}F$  gives the desired Kähler metric on  $\mathbb{H}^s \times \mathbb{C}$ .

**Remark 2.8.** *The manifolds  $X(K, U)$  with  $s = 2$  and  $t = 1$  give counterexamples to a conjecture of I. Vaisman, according to which a compact locally conformally Kähler manifold admitting even Betti numbers with odd index and non-zero Betti numbers with even index should already be Kähler; (see [2], p. 8).*

*Proof.* We have the following Betti numbers for  $X(K, U)$ :  $b_0 = b_6 = 1$ ,  $b_1 = b_5 = 2$ ,  $b_2 = b_4 = 1$  and  $b_3 = 0$ . In fact, here  $X(K, U)$  is of simple type and therefore we can apply Proposition 2.3 to get  $b_1$  and  $b_2$ . For  $b_3$  note that the Euler characteristic equals  $c_3(X(K, U)) = 0$ , since  $\Theta$  is flat.  $\square$

**Proposition 2. 9.** *When  $s = 1$  and  $t > 1$  there exists no locally conformally Kähler metric on  $X(K, U)$ .*

*Proof.* Let  $s = 1$ ,  $\omega = \sum_{1 \leq i, j \leq m} g_{ij} dz_i \wedge d\bar{z}_j$  a closed strictly positive  $(1, 1)$ -form on  $\mathbb{H} \times \mathbb{C}^t$  and  $\rho : U \times \mathcal{O}_K \rightarrow \mathbb{R}_{>0}$  a representation such that  $g^*\omega = \rho(g)\omega$  for all  $g \in U \times \mathcal{O}_K$ . We shall show that  $t = 1$ .

It is clear that  $\rho$  factorizes through a representation of  $U$  which we denote again by  $\rho$ . Since  $\omega$  descends to  $(\mathbb{H} \times \mathbb{C}^t)/\sigma(\mathcal{O}_K) \simeq \mathbb{R}_{>0} \times (S^1)^n$ , we may assume by averaging over  $(S^1)^n$  that the coefficients  $g_{ij}$  are constant in the directions of  $\sigma(\mathcal{O}_K)$ . In particular they are constant on the subspaces  $\{v\} \times \mathbb{C}^t$  for each  $v \in \mathbb{H}$ . Since  $d\omega = 0$ , this implies that for  $i, j > 1$  the coefficients  $g_{ij}$  are constant on the whole of  $\mathbb{H} \times \mathbb{C}^t$ . By the compatibility of  $\omega$  with  $\rho$  we thus get

$$\rho(u) = |\sigma_2(u)|^2 = |\sigma_3(u)|^2 \dots = |\sigma_m(u)|^2, \quad \forall u \in U.$$

Consider now a non-trivial element  $u$  of  $U$  and its characteristic polynomial  $X^n - a_1 X^{n-1} + \dots + a_{2t} X - 1$ . This polynomial must be irreducible, otherwise there would exist some  $i > 1$  such that  $\sigma_1(u) = \sigma_i(u) \quad \forall u \in U$ . But this would imply  $\sigma_1(u) = 1$  which is impossible.

We have

$$\begin{aligned} \sigma_1(u) &= \frac{1}{\rho(u)^t}, \\ a_1 &= \frac{1}{\rho(u)^t} + \sum_{j=2}^m (\sigma_j(u) + \bar{\sigma}_j(u)), \\ a_{2t} &= \sum_{j=1}^m \frac{1}{\sigma_j(u)} = \rho(u)^t + \frac{\sum_{j=2}^m (\sigma_j(u) + \bar{\sigma}_j(u))}{\rho(u)} = \rho(u)^t + \frac{a_1}{\rho(u)} - \frac{1}{\rho(u)^{t+1}}. \end{aligned}$$

Thus  $\rho(u)$  satisfies the following equation:

$$\rho(u)^n - a_{2t}\rho(u)^{t+1} + a_1\rho(u)^t - 1 = 0.$$

Since  $\mathbb{Q}[\sigma_1(u)] \subset \mathbb{Q}[\rho(u)]$  these field extensions must be equal, hence  $\rho(u)$  is a non-torsion unit in  $\mathcal{O}_K$  having the same property as  $u$ , namely that its images through the complex embeddings of  $K$  have the same absolute value:  $\rho(u)^{-1/t} = \sigma_1(u)^{1/t^2}$ . But the same argument as before yields a new non-torsion unit  $\rho(u)^{-1/t}$  which for  $t > 1$  satisfies the equation  $X^n - 1 = 0$ . This is a contradiction!  $\square$



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